

Board Self-Assessment Report Sample Hospital

The Board's Fiduciary Role

Question	Response Scale/Weight						Score		Benchmark		<p>Scores are the Weighted Average of Responses: $(\# \text{ of SA} \times 5) + (\# \text{ of Agrees} \times 4) + (\# \text{ of Disagrees} \times 3) + (\# \text{ of SD} \times 2)$ Total Responses (omitting No Opinion & DNA) Larger Scores = Better Scores</p>
	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
Board members are expected to attend board meetings in order to conduct business and make informed decisions.	4	0	0	0	0	0	5.00	5.00	4.94	4.86	
Board members receive meeting notices, written agendas, minutes and other appropriate materials well in advance of meetings.	0	4	0	0	0	0	4.00	4.00	4.23	4.59	
The roles, responsibilities and authorities of the board members and officers, the CEO and the medical staff are stated in written documents.	0	3	1	0	0	0	3.75	3.00	3.82	4.41	
Per Board procedure, Board members are required to disclose possible conflicts of interest.	0	3	1	0	0	0	3.75	3.00	3.64	4.62	
The legal responsibilities and the potential liabilities of governance are clearly communicated to board members.	0	3	1	0	0	0	3.75	3.00	3.80	4.27	

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	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
Board members are protected against the potential liabilities of governance through indemnity arrangements, insurance and other measures.	0	3	1	0	0	0	3.75	4.33	3.95	4.40	
The board oversees a compliance plan that ensures policies and procedures are in place in the following areas:											
Governance (i.e., operating in accordance with the organization's purpose, conflict of interest disclosures, code of conduct including confidentiality).	0	2	2	0	0	0	3.50	3.67	4.00	4.46	
Human Resources (i.e., nondiscrimination, harassment, ADA, FMLA).	0	1	3	0	0	0	3.25	3.33	3.90	4.34	
Fraud and Abuse (i.e., Stark Law, Anti-kickback Statute, False Claims Act).	0	1	3	0	0	0	3.25	3.67	3.81	4.32	

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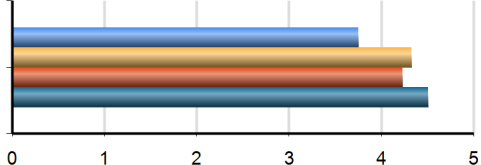
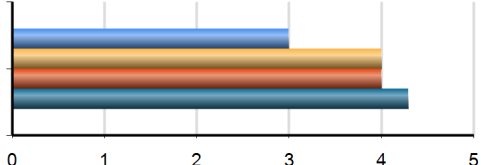
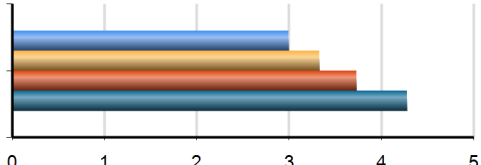
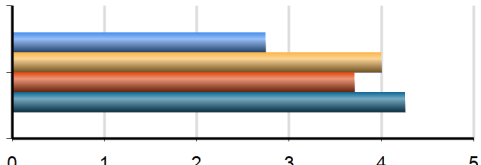
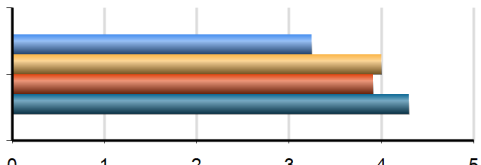
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	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
If applicable, tax exempt status (i.e., audit procedures, review of 990, review of executive compensation).	0	2	2	0	0	0	3.50	4.50	4.00	4.38	
If applicable, public hospital statutes (i.e., open meetings, open records, conflicts of interest).	0	2	1	1	0	0	3.25	4.00	4.00	4.47	

The Board's Fiduciary Role Comments

- ▶ TBFR #1
- ▶ TBFR #2
- ▶ TBFR #3
- ▶ TBFR #4

Board Self-Assessment Report Sample Hospital

Mission, Strategy & Stakeholders

Question	Response Scale/Weight						Score		Benchmark		<p>Scores are the Weighted Average of Responses: $(\# \text{ of SA} \times 5) + (\# \text{ of Agrees} \times 4) + (\# \text{ of Disagrees} \times 3) + (\# \text{ of SD} \times 2)$ Total Responses (omitting No Opinion & DNA) Larger Scores = Better Scores</p>
	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
The board effectively fulfills its responsibility for establishing and maintaining the organization's long-range or strategic plan.	1	2	0	1	0	0	3.75	4.33	4.23	4.51	
The hospital/system reviews (at least once every two years) and revises as appropriate the hospital's/system's direction and role (i.e., mission, vision, values, statements).	0	1	2	1	0	0	3.00	4.00	4.00	4.29	
Board membership is reflective of the community being served with needed professional skills/talents and reflective of our patient demographics (race, gender and age mix).	0	1	2	1	0	0	3.00	3.33	3.73	4.28	
The board seeks opportunities to communicate with the community regarding hospital/system services and programs and to inform and seek input to determine unmet health care needs.	0	0	3	1	0	0	2.75	4.00	3.71	4.26	
Board members understand their role in advocating for the hospital/system with elected officials.	0	1	3	0	0	0	3.25	4.00	3.91	4.30	

Board Self-Assessment Report Sample Hospital

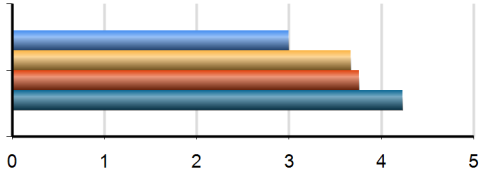
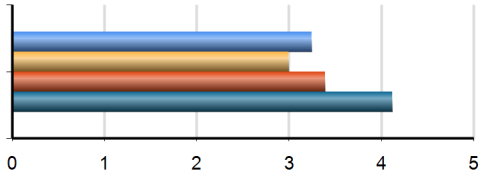
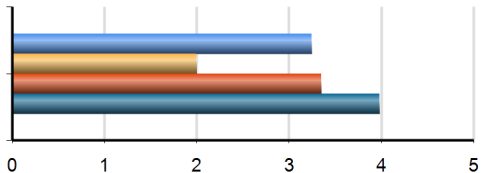
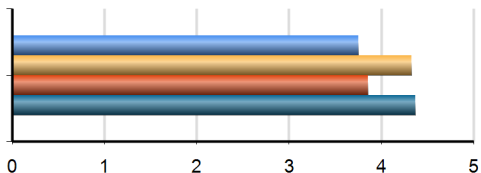
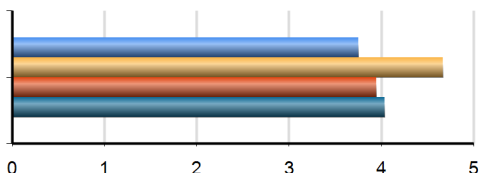

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	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
The board actively supports the fund-raising and development programs of the hospital and/or foundation.	0	1	3	0	0	0	3.25	4.33	3.95	4.50	

Mission, Strategy & Stakeholders Comments

- MSS #1
- MSS #2
- MSS #3
- MSS #4

Board Self-Assessment Report Sample Hospital

Governance & Leadership Effectiveness

Question	Response Scale/Weight						Score		Benchmark		<p>Scores are the Weighted Average of Responses: $(\# \text{ of SA} \times 5) + (\# \text{ of Agrees} \times 4) + (\# \text{ of Disagrees} \times 3) + (\# \text{ of SD} \times 2)$ Total Responses (omitting No Opinion & DNA) Larger Scores = Better Scores</p> 
	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
Recognizing statutory requirements, the board periodically assesses the size of the board to effectively govern the organization.	0	1	2	1	0	0	3.00	3.67	3.76	4.23	
If legally permissible, board members are appointed for a specified period of time with provision for reappointment and with a limit on the number of terms.	0	1	3	0	0	0	3.25	3.00	3.39	4.12	
Prospective board members and board leadership are identified through an organized succession planning process.	0	1	3	0	0	0	3.25	2.00	3.35	3.98	
The board has a written set of bylaws that are periodically reviewed (at least once every two years).	0	3	1	0	0	0	3.75	4.33	3.86	4.37	
The board regularly evaluates the effectiveness of its board meetings, including frequency, length and content.	0	3	1	0	0	0	3.75	4.67	3.95	4.04	

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Question	Response Scale/Weight						Score		Benchmark		<p>Scores are the Weighted Average of Responses: $\frac{(\# \text{ of SA} \times 5) + (\# \text{ of Agrees} \times 4) + (\# \text{ of Disagrees} \times 3) + (\# \text{ of SD} \times 2)}{\text{Total Responses (omitting No Opinion \& DNA)}}$ Larger Scores = Better Scores </p>
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Standing and ad hoc committees report regularly to the full board.	1	2	1	0	0	0	4.00	3.67	3.90	4.43	
Committees are reviewed regularly (at least once every two years) with regard to composition, goals, responsibilities and performance.	1	2	1	0	0	0	4.00	3.67	4.00	4.25	
The board chair effectively and efficiently leads the board meetings, including working with the CEO to develop the agenda.	2	2	0	0	0	0	4.50	4.50	4.14	4.53	
Board members are encouraged to ask questions and deliberate in a thoughtful and objective manner.	2	2	0	0	0	0	4.50	3.67	4.00	4.60	
Board members are encouraged to identify education needs.	1	2	1	0	0	0	4.00	4.00	4.05	4.33	

Board Self-Assessment Report

Sample Hospital

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	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
The board oversees a compliance plan that ensures policies and procedures are in place in the following areas:											
A formally established program to orientate new board members.	1	2	1	0	0	0	4.00	3.67	4.09	4.12	
Continuing education sessions for all board members, including discussions of local and national hospital issues and trends.	1	1	1	1	0	0	3.50	3.67	3.91	4.30	
Reimbursement of expenses for local, state and national conferences and seminar attendance.	1	2	0	1	0	0	3.75	4.50	4.14	4.41	
Resources on health care management and good governance practices.	0	3	0	1	0	0	3.50	4.00	3.91	4.31	

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	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
Trustee education certification.	0	3	0	1	0	0	3.50	3.33	3.90	4.08	
Custom Question #1 GLE	0	1	2	1	0	0	3.00	***			
Custom Question #2 GLE	0	2	1	1	0	0	3.25	***			
Custom Question #3 GLE	0	1	2	1	0	0	3.00	***			

Governance & Leadership Effectiveness Comments

- ▶ G&LE #1
- ▶ G&LE #2
- ▶ G&LE #3
- ▶ G&LE #4

Board Self-Assessment Report Sample Hospital

The Board's Role in Finance

Question	Response Scale/Weight						Score		Benchmark		<p>Scores are the Weighted Average of Responses: $(\# \text{ of SA} \times 5) + (\# \text{ of Agrees} \times 4) + (\# \text{ of Disagrees} \times 3) + (\# \text{ of SD} \times 2)$ Total Responses (omitting No Opinion & DNA) Larger Scores = Better Scores</p>
	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
The board annually approves a budget and ensures an audit is performed.	1	2	1	0	0	0	4.00	3.67	4.29	4.75	
The board reviews the organization's financial position on a regular basis, including financial statements and performance metrics.	0	3	1	0	0	0	3.75	4.00	4.23	4.72	
The board has established spending guidelines for the CEO.	0	2	2	0	0	0	3.50	4.00	3.95	4.37	
Custom Question #1 TBRIF	0	2	2	0	0	0	3.50	***			
Custom Question #2 TBRIF	0	3	1	0	0	0	3.75	***			

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	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
Custom Question #3 TBRIF	0	3	1	0	0	0	3.75	***			

The Board's Role in Finance Comments

- ▶ TBRIF #1
- ▶ TBRIF #2
- ▶ TBRIF #3
- ▶ TBRIF #4

Board Self-Assessment Report Sample Hospital

The Board's Role in Quality & Patient Safety

Question	Response Scale/Weight						Score		Benchmark		<p>Scores are the Weighted Average of Responses: $(\# \text{ of SA} \times 5) + (\# \text{ of Agrees} \times 4) + (\# \text{ of Disagrees} \times 3) + (\# \text{ of SD} \times 2)$ Total Responses (omitting No Opinion & DNA) Larger Scores = Better Scores</p>
	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
The board effectively monitors and evaluates all areas of performance, including quality of care.	1	2	1	0	0	0	4.00	4.67	4.41	4.61	
The board reviews quality performance metrics and benchmarks.	0	1	3	0	0	0	3.25	3.67	3.95	4.54	
The board has a quality committee that regularly reviews patient satisfaction and safety data, including analysis of risk events.	0	1	3	0	0	0	3.25	3.33	3.68	4.41	
The board and its committees are dedicating the necessary time to have meaningful discussion on quality and patient safety issues.	0	1	3	0	0	0	3.25	3.67	3.77	4.40	
The CEO (or designee), in conjunction with the board, set specific aim(s) each year to improve quality and patient safety.	0	2	2	0	0	0	3.50	4.50	3.90	4.50	

Board Self-Assessment Report Sample Hospital

Question	Response Scale/Weight						Score		Benchmark		<p>Scores are the Weighted Average of Responses: $\frac{(\# \text{ of SA} \times 5) + (\# \text{ of Agrees} \times 4) + (\# \text{ of Disagrees} \times 3) + (\# \text{ of SD} \times 2)}{\text{Total Responses (omitting No Opinion \& DNA)}}$ Larger Scores = Better Scores </p>
	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (2)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
The board has interaction with the medical staff on quality and patient safety strategy.	0	2	2	0	0	0	3.50	4.67	3.90	4.10	
Custom Question #1 TBRIQAP	0	1	3	0	0	0	3.25	***			
Custom Question #2 TBRIQAP	0	1	3	0	0	0	3.25	***			
Custom Question #3 TBRIQAP	0	1	3	0	0	0	3.25	***			

The Board's Role in Quality & Patient Safety Comments

- ▶ TBRIQAPS #1
- ▶ TBRIQAPS #2
- ▶ TBRIQAPS #3
- ▶ TBRIQAPS #4

Board Self-Assessment Report Sample Hospital

The Board-CEO Relationship

Question	Response Scale/Weight						Score		Benchmark		<p>Scores are the Weighted Average of Responses: $(\# \text{ of SA} \times 5) + (\# \text{ of Agrees} \times 4) + (\# \text{ of Disagrees} \times 3) + (\# \text{ of SD} \times 2)$ Total Responses (omitting No Opinion & DNA) Larger Scores = Better Scores</p>
	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (4)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
A performance evaluation of the CEO is done annually and is aligned with organization performance goals.	1	0	2	1	0	0	3.25	4.67	4.24	4.68	
The board makes informed decisions on medical staff appointments, reappointments and clinical privileges and fulfills its responsibility for a properly functioning medical staff.	1	0	2	1	0	0	3.25	3.67	3.95	4.41	
The board refrains from making decisions related to the implementation of policy that should be made by the CEO and management staff.	1	0	2	1	0	0	3.25	3.33	3.86	4.47	
The board refrains from making decisions related to the implementation of policy that should be made by the medical staff.	1	0	2	1	0	0	3.25	4.50	3.86	4.46	
The board regularly assesses succession planning for CEO and senior leadership to ensure continuity for the organization.	1	0	2	1	0	0	3.25	4.33	3.90	3.95	

Board Self-Assessment Report Sample Hospital

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	Strongly Agree (5)	Agree (4)	Disagree (3)	Strongly Disagree (4)	No Opinion (1)	Does Not Apply (0)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
Custom Question #1 TBCR	1	1	1	1	0	0	3.50	***			
Custom Question #2 TBCR	1	1	2	0	0	0	3.75	***			
Custom Question #3 TBCR	1	1	2	0	0	0	3.75	***			

The Board-CEO Relationship Comments

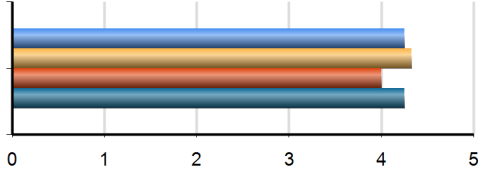
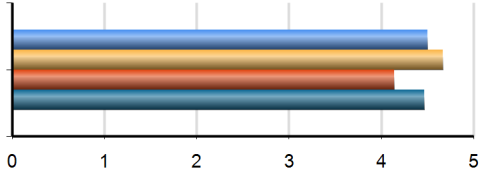
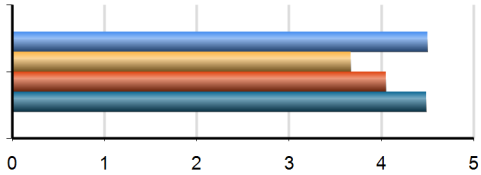
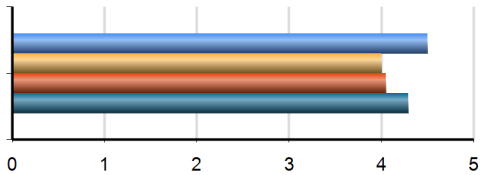
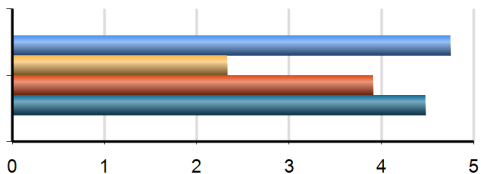
- ▶ TBCR #1
- ▶ TBCR #2
- ▶ TBCR #3
- ▶ TBCR #4

Board Self-Assessment Report Sample Hospital

Personal Section - How Satisfied are your Board Members?

Question	Response Scale/Weight					Score		Benchmark		<p>Scores are the Weighted Average of Responses: $(\# \text{ of VS} \times 5) + (\# \text{ of S} \times 4) + (\# \text{ of N} \times 3) + (\# \text{ of D} \times 2) + (\# \text{ of VD} \times 1)$ Total Responses Larger Scores = Better Scores</p>
	Very Satisfied (5)	Satisfied (4)	Neutral (3)	Dissatisfied (2)	Very Dissatisfied (1)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
I am satisfied with the orientation I received as a new board member.	1	2	1	0	0	4.00	5.00	4.55	4.24	
I understand the organization's mission.	0	3	1	0	0	3.75	4.00	4.09	4.65	
I have a positive working relationship with other board members.	0	2	2	0	0	3.50	4.33	3.86	4.56	
I have a positive working relationship with the CEO.	0	2	2	0	0	3.50	3.33	3.73	4.55	
I am knowledgeable about the organization's major programs and services.	0	2	2	0	0	3.50	3.00	3.64	4.40	

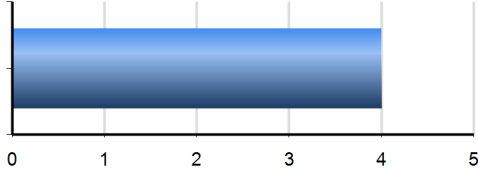
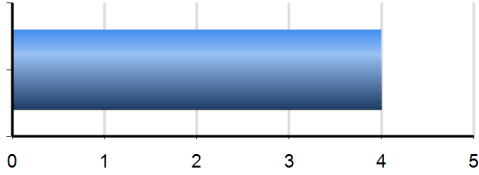
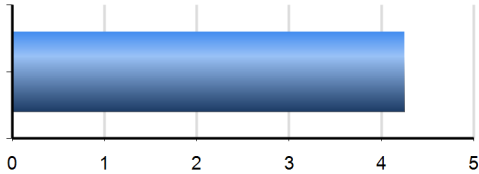
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	Very Satisfied (5)	Satisfied (4)	Neutral (3)	Dissatisfied(2)	Very Dissatisfied (1)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
I follow trends and important developments in health care.	1	3	0	0	0	4.25	4.33	4.00	4.25	
I understand the organization's budget process and am knowledgeable about how funds are spent and allocated.	2	2	0	0	0	4.50	4.67	4.14	4.47	
I prepare for, attend and actively participate in board meetings as well as other activities of the organization.	2	2	0	0	0	4.50	3.67	4.05	4.49	
I take advantage of opportunities to represent the work of the organization to the community.	2	2	0	0	0	4.50	4.00	4.05	4.29	
I advise and assist the organization when my help is requested.	3	1	0	0	0	4.75	2.33	3.91	4.48	

Board Self-Assessment Report Sample Hospital

Question	Response Scale/Weight					Score		Benchmark		<p>Scores are the Weighted Average of Responses: $(\# \text{ of VS} \times 5) + (\# \text{ of S} \times 4) + (\# \text{ of N} \times 3) + (\# \text{ of D} \times 2) + (\# \text{ of VD} \times 1)$ Total Responses Larger Scores = Better Scores</p>
	Very Satisfied (5)	Satisfied (4)	Neutral (3)	Dissatisfied (2)	Very Dissatisfied (1)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
I participate in educational opportunities to remain current on changing health care issues and trends.	3	0	1	0	0	4.50	4.33	4.05	4.13	
I find serving on the board to be a satisfying and rewarding experience.	3	0	1	0	0	4.50	3.67	4.18	4.63	
I understand my obligation to disclose any conflict of interest.	3	0	1	0	0	4.50	4.00	4.14	4.68	
I understand the function, role and responsibilities of being a board member.	1	2	1	0	0	4.00	3.33	3.95	4.60	
I comply with my fiduciary responsibilities, including confidentiality.	1	2	1	0	0	4.00	2.67	3.95	4.65	

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	Very Satisfied (5)	Satisfied (4)	Neutral (3)	Dissatisfied (2)	Very Dissatisfied (1)	Current Year Score	Previous Year Score	Peer Score	Nationwide Score	
Custom Question #1 PE	1	2	1	0	0	4.00	***	***	***	
Custom Question #2 PE	0	4	0	0	0	4.00	***	***	***	
Custom Question #3 PE	1	3	0	0	0	4.25	***	***	***	

Personal Section - How Satisfied are you Board Members? Comments

- ▶ PE #1
- ▶ PE #2
- ▶ PE #3
- ▶ PE #4